



PATENT

Attorney Docket No. 39227-0706

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>PATENT APPLICATION</u>
)	
Inventor(s): Ariel Kelman, et al.)	
)	Art Unit: 3627
Application No.: 10/701,995)	
)	Examiner: Florian M. Zeender
Filed: November 4, 2003)	
)	
Title <i>Method and Apparatus for Managing Sales</i>)	
<i>Data</i>)	
)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

EXPRESS MAIL NO. EL 993 634 277 US
MAILING DATE: APRIL 22, 2005

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, along with a concise explanation of information in a foreign language, if any, except for pending U.S. applications is attached or is identified with an asterisk as having been previously cited in priority U.S. Patent Application No.: 09/429,240, filed: 10/28/99, pursuant to 37 C.F.R. §1.97-1.98.

1.98(d) A copy of any patent, publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the PTO-1449 in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$ 0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39227-0706).

Respectfully submitted,

HELLER EHRMAN LLP

Dated: April 22, 2005

By: 

Hao Y. Tung, Reg. No. 43,209

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INFORMATION DISCLOSURE STATEMENT PTO-1449	ATTY. DOCKET NO. 39227-0706 US	SERIAL NO. 10/701,995
	APPLICANT Ariel Kelman , et al.	
	FILING DATE 11/04/2003	GROUP: 3627

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	*5,493,490	02/20/1996	Johnson, Jerome D.			
	*5,737,533	04/07/1998	De Hond, Maurice			
	*5,799,320	08/25/1998	Klug, John R.			
	*5,826,240	10/20/1998	Brockman, Robert T., <i>et al.</i>			
	*5,870,717	02/09/1999	Weicha, Charles F.			
	*5,890,149	03/30/1999	Schmonsees, Robert J.			
	*6,055,513	04/25/2000	Katz, Ronald A., <i>et al.</i>			
	*6,070,149	05/30/2000	Tavor, Onn, <i>et al.</i>			
	*6,092,121	07/18/2000	Bennett, William E., <i>et al.</i>			
	*6,122,648	09/19/2000	Roderick, Stephen C.			
	*6,167,406	12/26/2000	Hoskins, Josiah C., <i>et al.</i>			
	*6,282,517 B1	08/28/2001	Wolfe, Don P., <i>et al.</i>			
	*6,411,965 B2	06/25/02002	Klug, John R.			
	*6,446,261 B1	09/03/2002	Rosser, Roy J.			
	*6,449,597 B1	09/10/2002	McGill, Andrew R.			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	*EP 514231 A2	11/1992	Great Britian			<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	APPLICANT Ariel Kelman , et al.	
	FILING DATE 11/04/2003	GROUP: 3627
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	*The Sant Corporation; RFP Master; 10/27/99; Pages 1-2; www.santcorp.com (website sales presentation)	
	*The Sant Corporation; Presentation Builder; 10/27/99; Page 1; www.santcorp.com (website sales presentation)	
	*The Sant Corporation; Proposal Master; 10/27/99; Pages 1-2; www.santcorp.com (website sales presentation)	
	*The Sant Corporation; Sant Products; 10/27/99; Pages 1-2; www.santcorp.com (website sales presentation)	
	*UpShot.com; UpShot Online; 1999 UpShot.com; Pages 1-4	
	*UpShot.com; UpShot Online Admin. Guide; 1998-1999 UpShot.com; Pages 1-134	
EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.